

LEP & REF
Rwm #307

H.M. Gladney
HMG Consulting LLC

13 February 2002

#31 Reg for
refund
03-27-02



Office of the Asst. Commissioner for Patents
USPTO
Washington, D.C.

Dear Sir or Madam:

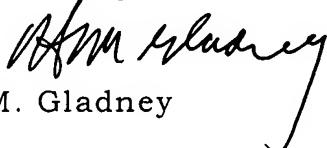
This is a request for refund of \$586.00 which was a duplicate collection of filing fees caused as a consequence of a problem with U.S. Post Office delivery problem, possibly a side effect of the December 2001 anthrax scare.

The facts, evidenced by attachments, are that on 11th December 2001 I submitted an application with my cheque #136. That disappeared in the mails; 3 weeks later the U.S. Post Office trace of the certified letter could not find it; so I assumed it permanently lost and resubmitted, this time with my cheque #139. Each cheque was for \$586. (Images of both superposed on images of your fee transmittal forms are attached).

The duplicate submission was acknowledged on 4th Jan 2002 with your number **JC826 U.S. PTO 10/039143** and my bank paid cheque #139 a couple of weeks later. Then the first submission must have arrived (seven weeks after it was mailed) and was acknowledged on 15th Jan 2002 with your number **J1002 U.S. PTO 10/047392**. (Attached is a single photocopy that shows both the 4th Jan and the 15th Jan actions of your office. My bank paid cheque #136 at the beginning of February, as I noticed just a few days ago.

Please combine your office actions for these two applications, as they are identical. And please refund one fee of \$586. at your earliest convenience.

Yours truly,


H.M. Gladney

Attachment: 3 photocopy sheets as described above.

01/15/02



JC984 U.S. PTO

Please type a plus sign (+) inside this box



PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

N/A

First Inventor

Henry Martin GLADNEY

Title

Express Mail Label No.

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- Applicant claims small entity status.
See 37 CFR 1.27.
- Specification [Total Pages **19**]
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- Drawing(s) (35 U.S.C. 113) [Total Sheets **6**]
- Oath or Declaration [Total Pages **2**]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 CFR 3.73(b) Statement Power of (when there is an assignee) Attorney
11. English Translation Document (if applicable)
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part (CIP)

of prior application No.: _____ / _____

Prior application information: Examiner _____

Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	(Insert Customer No. or Attach bar code label here)			or <input checked="" type="checkbox"/>	Correspondence address below
Name	H. M. GLADNEY				
Address	20044 GLEN BRAE DRIVE				
City	SARATOGA	State	CALIF.	Zip Code	95070
Country	USA	Telephone	(408)867-5454		Fax (408)867-3933

Name (Print/Type)	H. M. GLADNEY	Registration No. (Attorney/Agent)	N/A
Signature	<i>Henry Martin Gladney</i>		Date 11th Dec 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

10/04/02



Please type a plus sign (+) inside the circle
PTO
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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032
 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

UTILITY PATENT APPLICATION TRANSMITTAL

FEB 27 2002



(Only for new nonprovisional applications under 37 CFR 1.53(b))

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- Oath or Declaration [Total Pages **2**]
 - a. Newly executed (original or copy)
Copy from a prior application (37 CFR 1.62(d))
 - b. (for continuation/divisional)
 - i. **DELETION OF**
Signed statement atta
named in the prior ap
1.63(d)(2) and 1.33(b)
- Application Data Sheet. S

18. If a CONTINUING APPLICATION, or in an Application Data Sheet under

 Continuation Divisions

Prior application information: Ex:
 For CONTINUATION OR DIVISIONAL APP
 Box 5b, is considered a part of the disclosure
 The incorporation can only be relied upon

 Customer Number or Bar Code Label
Name **H.**Address **26**City **SARATOGA**

Attorney Docket No.	N/A
First Inventor	Henry Martin GLADNEY
Title	
Express Mail Label No.	

ADDRESS TO: Assistant Commissioner for Patents
 Box Patent Application
 Washington, DC 20231

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10. 37 CFR 3.73(b) Statement Power of Attorney (when there is an assignee)
11. English Translation Document (if applicable)
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
13. Preliminary Amendment

*Submitted**Patent Application (19 pages + 6 pages
of drawings)**Completed Forms**PTO/SB/101 (2 pages)**PTO/SB/101.A**J1002 U.S. PTO**10/047392**PTO/SB/05**01/15/02**PTO/SB/17**Personal check for \$586.00*

Name	H. M. GLADNEY	State	CALIF.	Zip Code	95070
City	SARATOGA	Telephone	(408)867-5454		
Country	USA	Fax	(408)867-3933		

Name (Print/Type)	H. M. GLADNEY	Registration No. (Attorney/Agent)	N/A
Signature	<i>Henry Martin Gladney</i>		Date 11th Dec 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

 JC826 US PRO
 10/047392



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FEE TRANSMITTAL

for FY 2002

Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 586.00)

Complete if Known

Application Number	
Filing Date	11 th DEC 2001
First Named Inventor	HENRY M. GLADNEY
Examiner Name	
Group Art Unit	
Attorney Docket No.	N/A

METHOD OF PAYMENT (check all that apply)

 Check Credit card Money Order Other None
 Deposit Account:

Deposit Account Number
 Deposit Account Name

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) during the pendency of this application
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
101 740	201 370	Utility filing fee	370
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	
SUBTOTAL (1) (\$ 370)			

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

	Extra Claims	Fee from below	Fee Paid
Total Claims	-20** =	10 X 9 =	90
Independent Claims	6 - 3** =	3 X 42 =	126
Multiple Dependent			—

Large Entity	Small Entity	Fee Description
Fee Code (\$)	Fee Code (\$)	
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) (\$ 216)		

**or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large E

Fee Code

105
127

139

147

112

113

115

116

117

118

128

119

120

12

131

14

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11

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H. M. GLADNEY
 HM CONSULTING
 PH 408-867-5454
 2004 GLEN BRAE DR.
 SARATOGA, CA 95070
 Pay to the Commissioner of Patents & Trademarks
 Order of H. M. Gladney

Wells Fargo Bank, N.A.
 California
 www.wellsfargo.com

WELLS
 FARGO

Memo

DRAFTED BY: H. M. Gladney

SUBTOTAL (3) (\$ 0)

SUBMITTED BY

Complete if applicable

Name (Print/Type)	HENRY M. GLADNEY	Registration No. (Attorney/Agent)	N/A	Telephone	(408)867-5454
Signature	H. M. Gladney			Date	12/11/01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant: Commissioner for Patents, Washington, DC 20231.

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 586.02)

Complete if Known

Application Number	
Filing Date	11 th DEC 2001
First Named Inventor	HENRY M. GLADNEY
Examiner Name	
Group Art Unit	
Attorney Docket No.	N/A

METHOD OF PAYMENT (check all that apply)

Check Credit card Money Order Other None

 Deposit Account:

Deposit Account Number: _____
 Deposit Account Name: _____

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) during the pendency of this application
 Charge fee(s) indicated below, except for the filing fee to the above identified deposit account.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	
Other fee (specify) _____			

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0)

**or number previously paid, if greater; For Reissues, see above

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	HENRY M. GLADNEY	Registration No. (Attorney/Agent)	N/A	Telephone	(408) 867-5454
Signature	<i>Henry M. Gladney</i>		Date	12/11/01	

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, DC 20231
www.uspto.gov

H. M. Gladney
20044 Glen Brae Drive
Saratoga, CA 95070

In re Application of

Gladney, Henry M.

Filed: 01/04/02

Application No. **10/039143**

Attorney Docket No.

For: Method, system, and data structure for
trustworthy digital document interchange
and preservation

: LETTER REGARDING
: MERGING OF APPLICATION
: FILES

This is a response to the requests filed on 02/27/02 and 07/17/02, in above-identified application, requesting that the file of the above-identified application and the file that contains the later-received application papers be merged. The request is **granted**.

Applicant is hereby notified that the file of the above-identified application and the file that contains the later-received application papers will be **merged**.

All papers in the file that contains the later-received papers will be placed in application No. **10/039143**. All future correspondence concerning the application should be directed to this application number.

Application No. **10/047392**, assigned to the file that contains the later-received papers, will no longer be active.

The filing fee paid in the file that contains the later-received papers will be refunded.

All notices mailed by the Office in the file that contains the later-received papers are hereby vacated.

Telephone inquiries concerning this communication should be directed to the Customer Service Center, Office of Initial Patent Examination Division, at (703) 308-1202.

Delora Willard 2/27/02